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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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02/10/2004

David Lawrence

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EXAMINER

VIZVARY, GERALD C

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/775,868	Applicant(s) LAWRENCE, DAVID	
	Examiner GERALD C. VIZVARY	Art Unit 3696	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10 February 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>8/10/2005</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Introduction

The following is a non-final office action in response to the communications received on 2/10/2004. Claims 1-21 are now pending in this application.

Information Disclosure Statement

1. The information disclosure statement (IDS) submitted on 8/10/2005 was considered by the examiner.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-8, 10, 11, 14-16 & 18-20 are rejected under 35 U.S.C. 102(b) as being anticipated by Goldschmidt 6,983,266 B1.

As per claim 1, Goldschmidt 6,983,266 B1 teaches a method of facilitating monitoring of a transactions for one or more indications of insider trading, the method comprising:

receiving digital information related to one or more financial transactions into storage of a computer device ("In the first step 100, SNCE and associated data

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and information is retrieved from the primary monitoring computer system. This information is stored on a blackboard (typically a database system is used for the blackboard). "Goldschmidt 6,983,266 B1 col. 7, lines 58-62);

creating rules which relate the digital information to insider trading rules in the computer storage ("A specific application of the conceptual model of the CMAD_{cm} multi-agent decision support system of FIG. 1 will now be described for supporting the ASX surveillance CMAD_{cm} analyst team review process. For the sake of brevity, this CMAD_{cm} multi-agent decision support system will be referred to as ALCOD. ALCOD assists the ASX's surveillance analysts' decision making task of classifying a SNCE generated by the primary monitoring system (SOMA)." Goldschmidt 6,983,266 B1 col. 12, lines 20-28); and

generating an indication that execution of the financial transaction is in violation of one or more of the insider trading rules. ("Where there appears to have been a breach of the law, the matter is reported to the federal government body that administers the corporations law, namely the Australian Securities Commission (ASC) for further investigation and, if necessary, for legal action." Goldschmidt 6,983,266 B1 col. 12, lines 47-51)

As per claim 2, Goldschmidt teaches a method of claim 1 wherein the digital information received comprises supporting documentation for the transactions. ("FIG. 2 illustrates in flow chart form a preferred embodiment of the method of supporting a compliance agent in CMAD in accordance with the present invention. In the first step 100, SNCE and associated data and information are

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retrieved from the primary monitoring computer system.” Goldschmidt 6,983,266 B1 col. 7, lines 57-61)

As per claim 3, Goldschmidt 6,983,266 B1 teaches a method of claim 1 wherein the indication of an amount of risk comprises a normal range of risk and an elevated amount of risk and the method additionally comprising the steps of: determining a particular legal violation associated with an elevated level of risk (“Unusual patterns might be reflected in heavy turnover in a particular stock, or in a price change much larger than changes in other stock prices observed that day. Once an unusual pattern is detected, if no adequate explanation is found and there appears to have been a breach of the ASX rules, it is reported to the Exchange's companies division (if a listed company is involved), the ASX membership division (if a broker is involved), or the ASX derivatives division (if a derivative security is involved).” Goldschmidt 6,983,266 B1 col. 12, lines 38-46); and generating an action responsive to the particular legal violation. (“Where there appears to have been a breach of the law, the matter is reported to the federal government body that administers the corporations law, namely the Australian Securities Commission (ASC) for further investigation and, if necessary, for legal action.” Goldschmidt 6,983,266 B1 col. 12, lines 47-51)

As per claim 4, Goldschmidt teaches a method of claim 1 wherein the method additionally comprises the step of transmitting an indication to block execution of

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the one or more financial transactions. ("Once an unusual pattern is detected, if no adequate explanation is found and there appears to have been a breach of the ASX rules, it is reported to the Exchange's companies division (if a listed company is involved), the ASX membership division (if a broker is involved), or the ASX derivatives division (if a derivative security is involved)." Goldschmidt 6,983,266 B1 col. 12, lines 38-46)

As per claim 5, Goldschmidt teaches a method of claim 1 wherein the method additionally comprises the step of notifying a legal authority involved in enforcing insider trading laws of a potential violation of a law related to the execution of the financial transaction. ("Once an unusual pattern is detected, if no adequate explanation is found and there appears to have been a breach of the ASX rules, it is reported to the Exchange's companies division (if a listed company is involved), the ASX membership division (if a broker is involved), or the ASX derivatives division (if a derivative security is involved)." Goldschmidt 6,983,266 B1 col. 12, lines 38-46)

As per claim 6, Goldschmidt teaches a method of claim 1, wherein the digital information is received from at least one of: (i) a bank, (ii) a broker dealer, and (iii) a national trading exchange. ("For the sake of brevity, this CMAD_{cm} multi-agent decision support system will be referred to as ALCOD. ALCOD assists the ASX's surveillance analysts' decision making task of classifying a SNCE

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generated by the primary monitoring system (SOMA).” Goldschmidt 6,983,266 B1 col. 12, lines 23-28)

As per claim 7, Goldschmidt 6,983,266 B1 teaches a method of claim 1 additionally comprising the steps of:

analyzing the stored data for patterns of behavior indicative of insider trading (“Unusual patterns might be reflected in heavy turnover in a particular stock, or in a price change much larger than changes in other stock prices observed that day.” Goldschmidt 6,983,266 B1 col. 12, lines 38-41); and

automatically generating a suggested action based upon the data. (“Once an unusual pattern is detected, if no adequate explanation is found and there appears to have been a breach of the ASX rules, it is reported to the Exchange's companies division (if a listed company is involved), the ASX membership division (if a broker is involved), or the ASX derivatives division (if a derivative security is involved).” Goldschmidt 6,983,266 B1 col. 12, lines 41-46)

As per claim 8, Goldschmidt 6,983,266 B1 teaches a method of claim 7 wherein the suggested action comprises conveying an insider trading report to a government entity. (“Where there appears to have been a breach of the law, the matter is reported to the federal government body that administers the corporations law, namely the Australian Securities Commission (ASC) for further investigation and, if necessary, for legal action.” Goldschmidt 6,983,266 B1 col. 12, lines 47-51)

As per claim 10, Goldschmidt 6,983,266 B1 teaches a method of claim 7 wherein the suggested action comprises monitoring an associated account for a pattern of activity that may be indicative of a violation of an insider trading law. ("Unusual patterns might be reflected in heavy turnover in a particular stock, or in a price change much larger than changes in other stock prices observed that day. Once an unusual pattern is detected, if no adequate explanation is found and there appears to have been a breach of the ASX rules, it is reported to the Exchange's companies division (if a listed company is involved), the ASX membership division (if a broker is involved), or the ASX derivatives division (if a derivative security is involved)." Goldschmidt 6,983,266 B1 col. 12, lines 38-46)

As per claim 11, Goldschmidt 6,983,266 B1 teaches a method of claim 7 wherein the suggested action comprises monitoring actions taken by an entity associated with the financial transaction for a pattern of activity that may be indicative of a violation of an insider trading law. ("Unusual patterns might be reflected in heavy turnover in a particular stock, or in a price change much larger than changes in other stock prices observed that day. Once an unusual pattern is detected, if no adequate explanation is found and there appears to have been a breach of the ASX rules, it is reported to the Exchange's companies division (if a listed company is involved), the ASX membership division (if a broker is involved), or the ASX derivatives division (if a derivative security is involved)." Goldschmidt 6,983,266 B1 col. 12, lines 38-46)

As per claim 14, Goldschmidt 6,983,266 B1 teaches a method of claim 7 wherein the suggested action comprises generating an insider trading report comprising details of the financial transaction and transmitting the report to a trading exchange associated with the financial transaction. ("Unusual patterns might be reflected in heavy turnover in a particular stock, or in a price change much larger than changes in other stock prices observed that day. Once an unusual pattern is detected, if no adequate explanation is found and there appears to have been a breach of the ASX rules, it is reported to the Exchange's companies division (if a listed company is involved), the ASX membership division (if a broker is involved), or the ASX derivatives division (if a derivative security is involved)." Goldschmidt 6,983,266 B1 col. 12, lines 38-46)

As per claim 15, Goldschmidt 6,983,266 B1 teaches a method of claim 14 wherein the insider trading report is transmitted via electronic mail. ("It combines computer-based decision support systems to analyse market events with communication software, text retrieval and graphics." Goldschmidt 6,983,266 B1 col. 2, lines 9-11)

As per claim 16, Goldschmidt 6,983,266 B1 teaches a method of claim 14 additionally comprising the steps of.
storing a record of the date and time of the transmission; and

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storing a record of a destination of the transmission. ("The alert record contains details of the alert type, the SNCE transaction, details of the entity under review (the stock)--current and historical, and other related information. Control rules on the blackboard retrieve this hypothesis from the output of SOMA." Goldschmidt 6,983,266 B1 col. 17, lines 49-54)

As per claim 18, Goldschmidt 6,983,266 B1 teaches a computer implemented method of facilitating filing insider trading report, the method comprising:

presenting an electronic form for receiving information, wherein the electronic form comprises prompts directed to receiving information related to determining whether insider trading related to one or more transactions as occurred; receiving data responsive to the prompts ("a graphic user interface (GUI) for human agents, or appropriate communication protocol for machine based agents, to enable the agent to respond to each of the first heuristic cues using Boolean responses; and wherein, said knowledge and search processing system is also adapted to select second heuristic cues from said knowledge base based on said Boolean responses, and said GUI for human agents, or appropriate communication protocol for machine based agents" Goldschmidt 6,983,266 B1 col. 4, lines 7-15);

receiving data identifying documentation supporting potential insider trading activity ("a graphic user interface (GUI) for human agents, or appropriate communication protocol for machine based agents, to enable the agent to respond to each of the first heuristic cues using Boolean responses; and

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wherein, said knowledge and search processing system is also adapted to select second heuristic cues from said knowledge base based on said Boolean responses, and said GUI for human agents, or appropriate communication protocol for machine based agents” Goldschmidt 6,983,266 B1 col. 4, lines 7-15);

storing the data responsive to the prompts and the data identifying documentation in a computer database (“According to another aspect of the present invention there is provided a system for supporting a compliance agent in compliance monitoring for anomaly detection, the system comprising: a relational database for receiving and storing information relating to a suspected non-compliant event (SNCE) generated by a primary monitoring system” Goldschmidt 6,983,266 B1 col. 3, lines 59-65);

presenting the data responsive to the prompts and the data identifying documentation to a person designated with determining whether to proceed with the one or more transactions (“and wherein, said knowledge and search processing system is also adapted to select second heuristic cues from said knowledge base based on said Boolean responses, and said GUI for human agents, or appropriate communication protocol for machine based agent” Goldschmidt 6,983,266 B1 col. 4, lines 10-15);

receiving an indication to proceed with the one or more transactions; and
generating a communication comprising an instruction to proceed with the one or more transactions. (“According to another aspect of the present invention there is provided a system for supporting a compliance agent in compliance monitoring

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for anomaly detection, the system comprising: a relational database for receiving and storing information relating to a suspected non-compliant event (SNCE) generated by a primary monitoring system” Goldschmidt 6,983,266 B1 col. 3, lines 59-65)

As per claim 19, Goldschmidt 6,983,266 B1 teaches a method of claim 18 additionally comprising the step of:

scrubbing the data responsive to the prompts and the data identifying documentation to obtain additional related data. (“obtaining a response from the agent to each of the first heuristic cues in the form of Boolean responses; selecting second heuristic cues from said knowledge base based on said Boolean responses; obtaining responses from the agent to each of the second heuristic cues in the form of linguistic variables; combining said linguistic variables with respective relevance measures for each of said second heuristic cues to produce respective weighted intermediate propositions, said intermediate propositions providing supporting evidence; and, combining said weighted intermediate propositions to produce final propositions repudiating or confirming the SNCE, which together with said supporting evidence enables the agent to make a decision regarding the SNCE more efficiently Goldschmidt 6,983,266 B1 col. 4, lines 18-27)

As per claim 20, Goldschmidt 6,983,266 B1 teaches a method of claim 19 additionally comprising the step of:

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automatically initiating a risk management clearinghouse search related to at least one of

(i) the data responsive to the prompts, and

(ii) the data identifying documentation. (“a graphic user interface (GUI) for human agents, or appropriate communication protocol for machine based agents, to enable the agent to respond to each of the first heuristic cues using Boolean responses; and wherein, said knowledge and search processing system is also adapted to select second heuristic cues from said knowledge base based on said Boolean responses, and said GUI for human agents, or appropriate communication protocol for machine based agents” Goldschmidt 6,983,266 B1 col. 4, lines 7-15)

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 9, 12, 13, 17 & 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Goldschmidt 6,983,266 B1 in view of Mandler 5,723,400.

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As per claim 9, Goldschmidt 6,983,266 B1 teaches a method of claim 7. Goldschmidt fails to explicitly teach that the suggested action comprises initiating a risk management clearinghouse search.

Mandler teaches "The financial clearinghouse further determines a risk-based discount rate as a function of the buyer's risk classification to establish a payment amount to a seller by the clearinghouse. The financial clearinghouse also determines a credit line for each buyer." (Mandler 5,723,400 col. 3, lines 43-47)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Goldschmidt to include the financial risk clearinghouse feature of Mandler since "the financial clearinghouse makes a dynamic real-time risk classification of each buyer" (Mandler 5,723,400 col. 3, lines 38-40)

As per claim 12, Goldschmidt 6,983,266 B1 teaches a method of claim 7.

Goldschmidt fails to explicitly teach that the suggested action comprises refusing to perform a requested transaction.

Mandler 5,723,400 teaches "In step S3, the financial clearinghouse 40 determines whether the buyer has an acceptable risk classification. For example, if the buyer 20 has been in business for less than three years, has no credit score report from a recognized credit reporting agency, has a risk classification of 0, has no reported trade references, or has a risk classification of 5 in conjunction with an unsatisfactory payment history rating, then the buyer 20 has an unacceptable risk classification. If one of the above conditions is satisfied, the

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financial clearinghouse 40 will reject the application in step S4 and inform the broker of the rejection.” Mandler 5,723,400 col. 12, lines 10-19)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Goldschmidt to include the financial risk clearinghouse feature of Mandler since “the financial clearinghouse makes a dynamic real-time risk classification of each buyer” (Mandler 5,723,400 col. 3, lines 38-40)

.

As per claim 13, Goldschmidt 6,983,266 B1 teaches a method of claim 7.

Goldschmidt 6,983,266 B1 fails to teach that the suggested action comprises closing an account associated with the financial transaction.

Mandler 5,723,400 teaches “The financial clearinghouse 40 can instead automatically select buyers 20 with expiring credit limits or risk classifications, automatically review and adjust the values or terminate the buyer's credit.” (Mandler 5,723,400 col. 13, lines 3-6)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Goldschmidt to include the account closing feature of Mandler in order to protect against “a buyer engaged in illegal activities, operates within certain designated industries, or is located in a country with which commerce is restricted pursuant to government regulations.” (Mandler 5,723,400 col. 12, lines 26-29)

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As per claim 17, Goldschmidt 6,983,266 B1 teaches a method of claim 1. comprising the step of securing the data comprising the insider trading report with at least one of:

refusing to disclose the data except where such disclosure is requested by an appropriate law enforcement or bank supervisory agency. ("Where there appears to have been a breach of the law, the matter is reported to the federal government body that administers the corporations law, namely the Australian Securities Commission (ASC) for further investigation and, if necessary, for legal action." Goldschmidt 6,983,266 B1 col. 12, lines 47-51)

Goldschmidt 6,983,266 B1 fails to explicitly teach

- (i) encrypting the data
- (ii) password protecting the data,
- (iii) protecting the data with a biometric access procedure

Mandler 5,723,400 teaches "In order to protect the security of communications on the network 5, the communications network 5 can include a security system." (Mandler 5,723,400 col. 6, lines 63-65) and

"For example, the network 5 can support authenticated, encrypted communications between the buyers 20, sellers 10, and financial clearinghouse 40 using known authentication and data encryption systems." (Mandler 5,723,400 col. 5, line 65-col. 6, line 1)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Goldschmidt to include the data protection

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feature of Mandler 5,723,400 “in order to protect the security of communications on the network” (Mandler 5,723,400 col. 5, lines 63-64)

As per claim 21, Goldschmidt teaches a method of claim 20.

Goldschmidt 6,983,266 B1 fails to teach that the risk management clearinghouse search is initiated on a proprietary risk management clearinghouse system.

Mandler teaches “The financial clearinghouse further determines a risk-based discount rate as a function of the buyer's risk classification to establish a payment amount to a seller by the clearinghouse. The financial clearinghouse also determines a credit line for each buyer.” (Mandler 5,723,400 col. 3, lines 38-47)

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the invention of Goldschmidt to include the financial risk clearinghouse feature of Mandler since “the financial clearinghouse makes a dynamic real-time risk classification of each buyer” (Mandler 5,723,400 col. 3, lines 38-40)

Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Lupien (US 5,689,652) shows a crossing network that matches buy and sell orders based upon a satisfaction and quantity profile is disclosed. The trader terminals are coupled to a matching controller computer. The matching controller

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computer can receive as input the satisfaction density profiles entered at each one of the trading terminals. The matching controller computer matches orders (as represented by each trader's satisfaction density profile) so that each trader is assured that the overall outcome of the process (in terms of average price and size of fill) has maximized the mutual satisfaction of all traders. Typically, the matching process is anonymous. The matching process can be continuous or performed on a batch basis.

Gilliam (2004/0039704) shows a system and method for enforcing rights specifying manners of use of an item, include specifying by a recipient of an item a first rights expression indicating at least one of a desired manner of use of the item by the recipient and a condition of use of the item by the recipient; associating the rights expression with the item; and supplying the item to the recipient based on satisfaction of at least one of the desired manner of use of the item by the recipient and the condition of use of the item by the recipient.

(US 2002/0194014 A1) shows a distributed risk management system, computer program, and method are provided that together provide a comprehensive source of risk management and compliance information that permits businesses to more effectively manage risks associated with business activities. The invention permits businesses to identify potential liabilities, evaluate current procedures in dealing with such risks, implement recommended risk

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management procedures, and validate that the recommended procedures have in fact been implemented and are effective.

Barton (2002/0059093 A1) shows a method and system for identifying and quantifying compliance issues. In one embodiment, a system is configured to implement a method which comprises assessing at least one compliance program to identify potential risks and prioritizing the potential risks. The issues relating to the potential risks, for example, failure modes and root causes are identified and are mitigated and controlled.

Mastwyk (2002/0091622 A1) shows a system which enables people who trade in stock listed securities to check whether a transaction is compliant with existing laws, rules and company regulations before an intended transaction is actually executed. An unambiguous `Yes or No` will be provided regarding the question whether the intended transaction is compliant with existing laws, rules and company regulations. This answer is provided by a computer system that by means of a rules or decision engine running against a database with data on clients, rules, market news and other relevant data about the insider determines the correct answer whether a transaction will be compliant or not. This indication is therefor of a pre-trading nature.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gerald C. Vizvary whose telephone number is 571-270-3268. The examiner can normally be reached on Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tom Dixon can be reached on 571-272-6803. The fax phone number for the organization where this application or proceeding is assigned is 571-270-4268.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ella Colbert/
Primary Examiner, Art Unit 3696

Gerald Vizvary
Patent Examiner, A.U. 3609
February 18, 2008